



RENK Aktiengesellschaft

Data protection information for shareholders and shareholder representatives when preparing, conducting and following up on the 117th Annual General Meeting as a virtual Annual General Meeting on 24 June 2020

I. Scope

RENK Aktiengesellschaft (**RENK**) has provided this privacy statement to inform you about the processing of your personal data (**data**) in connection with preparing, conducting and following up on the 117th Annual General Meeting. We would also like to inform you of your rights regarding the processing of your data.

The 117th Annual General Meeting of RENK Aktiengesellschaft will be held as a virtual Annual General Meeting without the physical presence of shareholders (except for the Company's proxies) at the offices of RENK Aktiengesellschaft, Gögginger Str. 73, 86159 Augsburg, Germany. Shareholders and their proxies can follow the Annual General Meeting by way of audio and video transmission by joining the meeting via the Shareholder Portal. The Shareholder Portal can be accessed at:

www.renk-ag.com

under "Investor Relations/Annual General Meeting". This data protection information is supplemented by the data protection information/privacy statement for visitors to our website. These are accessible at:

www.renk-ag.com

Those who wish to attend the Annual General Meeting by joining via the Shareholder Portal must visit our website.

II. Controller and Data Protection Officer

The party responsible for processing your personal data is:

RENK Aktiengesellschaft
Gögginger Straße 73, 86159 Augsburg
E-mail: datenschutz@renk.biz

You can contact RENK's Data Protection Officer at:

Tel: +49 (821) 2488315
E-mail: datenschutz@quentia.de

III. Categories and scope of personal data processed

1. Preparing, conducting and following up on the Annual General Meeting

When preparing, conducting and following up on the Annual General Meeting, we process your following personal data:

- Name, title where applicable, date of birth;
- Address and other mailing, electronic or telephone contact details;
- Number of shares, share class, type of share ownership and where applicable holding period of shares, proof of ownership of the shares by the record date for attending the virtual Annual General Meeting, information on the custodian bank;
- Credentials for the Shareholder Portal (entry or voting card number and access code);
- Where applicable, name and address of any shareholder representative that represents you or of any shareholder who you represent and the nature and scope of the proxy;
- Other information provided as part of preparing and/or conducting the virtual Annual General Meeting (e.g. from legal transactions, regarding attendance, from questions, nominations, motions, voting behaviour and other requests or exercising of shareholder rights that are submitted).

2. Use of the Shareholder Portal

When using the Shareholder Portal which can be accessed via the RENK website, the following additional data is collected, processed and logged in web server log files:

- IP address;
- Date and time of the query or access;
- Notification as to whether access was successful and content of the request (specific page);
- Website where the request originates (referrer URL);

- Information on the browser type, version used and language;
- Session ID and authentication token;
- Entry or voting card number, access code and password.

The Shareholder Portal uses web-storage functions. These store text files locally in your browser. As part of the session storage technology, we collect information on your authentication token (your “virtual” entrance ticket) and your session data after you log in, including your acceptance of our terms of use. This identifies you as a user, which is required in order to activate the full range of functions on the Shareholder Portal. We also use local storage to store the time stamp of your login; this function will be deactivated on the day of the virtual Annual General Meeting. This is done for security reasons so that we can automatically log you out after 30 minutes of inactivity. This data is automatically deleted when you close the browser. Your browser menu provides information on how to prevent web storage objects and which setting your browser uses to inform you that a new web storage object has been used. Please note that some features of the Shareholder Portal may no longer be available if you deactivate web storage objects.

When visiting our website, please also note our data protection information/privacy statement, which can be found on our website and supplement this data protection information.

IV. Source of your personal data

We receive your personal data either from your or from your custodian bank (intermediary). If you are attending as a proxy for a shareholder, we receive your personal data from the shareholder who issued you a proxy and from you, where this relates to your actions at the virtual Annual General Meeting (for example when exercising shareholder rights on behalf of someone else).

V. Purpose and legal basis for data processing

1. Technical operation of the Shareholder Portal

You can exercise your shareholder rights via the Shareholder Portal. In order to use the Shareholder Portal, you must log in using your credentials. The processing of the access data and device information listed above in web server log files and the use of the web storage elements is required in order for us to be technically able provide the Shareholder Portal, detect misuse, perform troubleshooting and ensure the virtual Annual General Meeting runs smoothly. We, like you and other shareholders, have a legitimate interest in providing the Shareholder Portal in order to ensure that shareholder rights can be exercised and enable attendance at the virtual Annual General

Meeting. The legal basis for this processing is Article 6 (1) sentence 1 (f) GDPR.

2. Identity verification and use of the Shareholder Portal

We process your login details each time you log in to the Shareholder Portal in order to verify that you are authorised to attend the virtual Annual General Meeting as a shareholder or shareholder representative or to make preparations (e.g. postal voting, granting proxies or submission of questions). This data is compared with the information that we have received from the custodian bank. It must be processed in order to meet obligations under stock corporate law in accordance with Sections 118 et seqq. of the Aktiengesetz (AktG – German Stock Corporation Act) (where applicable in conjunction with Section 1 (2) no. 2 and 4 of the German Law on Measures Under Company, Cooperative Association, Foundation and Property Ownership Law to Combat the Effects of the COVID-19 Pandemic (“COVID-19 Act”). The legal basis for the processing is Article 6 (1) sentence 1 (c) GDPR. If the data required is not provided, it may not be possible to attend the virtual Annual General Meeting and/or carry out preparations.

3. Preparing, conducting and following up on/documenting the Annual General Meeting

We process your personal data so that you can register for and attend the virtual Annual General Meeting (e.g. verifying authorisation to attend, creating the attendance list, postal dispatch of individual documents and voting cards) and exercise your rights at the virtual Annual General Meeting (including granting and revoking proxies and instructions). If you or your representative exercise your voting right before or during the virtual Annual General Meeting, your voting behaviour is processed to ensure resolutions are passed and votes are assessed correctly at the virtual Annual General Meeting. If you declare an objection using the Shareholder Portal during the virtual Annual General Meeting, we also process information on your objection to resolutions adopted at the virtual Annual General Meeting. In addition, the Annual General Meeting is recorded, especially with regard to the resolutions adopted. This may involve processing your data. The legal basis for this processing is Article 6 (1) sentence 1 (c) GDPR in conjunction with Sections 118 et seqq. AktG and, where applicable, in conjunction with the terms of the COVID-19 Act, if it is necessary to process your personal data in order to properly conduct the virtual Annual General Meeting. If the data required is not provided, it may not be possible to attend the virtual Annual General Meeting and/or exercise shareholder rights.

4. Creating of and permission to view attendance list

Your data is processed to create an attendance list (Section 129 AktG). In addition, the data to be provided pursuant to Section 129 (1) sentence 2 AktG can be viewed by other shareholders and shareholder representatives and can also be provided to other third parties (e.g. authorities in order to meet statutory reporting requirements) in connection with the Annual General Meeting. During the Annual General Meeting participants may inspect the data entered in the attendance list at the offices of RENK Aktiengesellschaft, Gögginger Str. 73, 86159 Augsburg, Germany. In addition, the attendance list can be inspected by every shareholder for up to two years after the Annual General Meeting (section 129(4) AktG). The legal basis for this processing is Article 6 (1) (c) GDPR in conjunction with section 129 AktG. We would like to point out that exercising shareholders' rights in accordance with section 1 of the COVID-19 Act, in particular exercising voting rights by postal vote, authorizing third parties or the Company's proxies, and following the virtual Annual General Meeting by video and audio transmission via the Shareholder Portal, does not constitute participation in the Annual General Meeting within the meaning of section 129 AktG.

5. Exercising the right to ask questions

If you submit questions via the Shareholder Portal before the virtual Annual General Meeting and your questions are published at the virtual Annual General Meeting, your name is generally included. Your name and your question can thus be seen by third parties. This data must be processed to protect our legitimate interest in information efficiency, the smooth running of the virtual Annual General Meeting and the legitimate interest of other shareholders and shareholder representatives in knowing the name of the person who submitted the question. The legal basis for this processing is Article 6 (1) sentence 1 (f) GDPR. You are entitled to object to this processing at any time. Please see **number IX (your rights)** below for more information.

6. Exercising other shareholder rights

If you exercise other shareholder rights (e.g. requesting copies of annual financial statements or reports, requests for additions to the agenda of the Annual General Meeting, counter motions or nominations), your data is processed where this is required to meet your shareholder rights. If your shareholder right requires a notice to be published, in particular in the Federal Gazette (*Bundesanzeiger*) or equivalent media, these items will be published in accordance with the provisions of the Ger-

man Stock Corporation Act stating your name (in particular in the case of additions to the agenda), if the prerequisites are met. In the case of counter motions and nominations, these are made accessible on our website stating your name if the prerequisites of the German Stock Corporation Act are met. The legal basis for processing this data is Article 6 (1) sentence 1 (c) GDPR in conjunction with the respective provisions of the German Stock Corporation Act.

7. Voting rights notification

If we receive any data from you or other parties required to give notice as part of voting rights notifications under the German Securities Trading Act, we process this data on the basis of the applicable statutory provisions in conjunction with Article 6 (1) sentence 1 (c) GDPR. Your data is published in accordance with the statutory requirements established in the German Securities Trading Act.

8. Other statutory requirements (especially retention requirements) and internal use

Your data is processed to meet statutory requirements such as regulatory obligations and retention requirements under stock corporation, commercial and tax law. In particular, we are required to create verifiable records of proxy statements when authorising proxies we appoint for the virtual Annual General Meeting and to retain these for three years. The legal basis are the statutory regulations under the German Stock Corporation Act and commercial and tax law in conjunction with Article 6 (1) sentence 1 (c) GDPR. We also process your data to produce statistics, analyses and evaluations (e.g. to present changes in the ownership structure, shareholder composition, the number of transactions or to provide overviews of the major shareholders). The legal basis for this is protecting our legitimate interests and the interests of third parties to information on the capital structure and general information efficiency, Article 6 (1) sentence 1 (f) GDPR.

VI. Recipients of your personal data

As part of the processing described above, your data is transferred to the third party recipients listed above for the reasons provided.

It is also passed on to our service providers responsible for conducting the virtual Annual General Meeting. They receive only the personal data required to perform the service they have been commissioned to carry out and process this data exclusively on our behalf and in accordance with our instructions. We also work with lawyers and consultants, who may be given access to data, in order to hold the Annual General Meeting. All employees, external service providers and consultants who have access to

and/or process personal data are required to treat this data as confidential.

Where necessary, your data is also passed on in order to meet statutory reporting requirements (e.g. to financial or law-enforcement authorities).

Your personal data is not transmitted to third countries (countries outside the EU/the EEA) or international organisations.

VII. Automated decision-making in individual cases, including profiling

No decisions based solely on automated processing (including profiling) in accordance with Article 22 GDPR are made when processing your data.

VIII. Retention period

Your data is erased or rendered anonymous if it is no longer required for the original purposes of collection or processing, if the data is no longer needed in connection with any administrative or court proceedings and statutory retention requirements no longer apply (in particular in accordance with the Handelsgesetzbuch (HGB – German Commercial Code), the Abgabenordnung (AO – German Fiscal Code) or the Geldwäschegesetz (GwG – German Money Laundering Act)). The storage period for the data recorded in connection with the Annual General Meeting is generally up to three years. If you have any specific questions on the retention period, please contact our Data Protection Officer.

IX. Your rights

1. Your rights as a data subject

You may request information on personal data stored about you. Through the Shareholder Portal, shareholders can also access their personal information recorded in the share register. You can also request that RENK rectify or erase your personal data or restrict processing. Shareholders/shareholder representatives have the right (under certain conditions) to request that specific personal data is transferred to the shareholder/shareholder representative themselves or to a third party.

Right to object:

Where we process your data to protect legitimate interests, you can object vis-à-vis RENK processing this data at any time if your particular situation precludes this data processing. We will terminate processing unless it serves our overriding compelling legitimate interests or those of third parties, in particular regarding asserting, exercising or defending legal claims.

2. Right to file a complaint

You may contact our Data Protection Officer at any time if you have any questions or complaints.

You can also contact a data protection supervisory authority at any time. The data protection supervisory authority responsible for RENK AG is:

Bayerisches Landesamt für Datenschutzaufsicht, Promenade 27, 91522 Ansbach.

Version: May 2020

RENK Aktiengesellschaft

This version of the data protection information prepared for the convenience of English-speaking readers is a translation of the German original. For purposes of interpretation, the German text shall be decisive and final.